

ANNA Working Group 2
(ISIN Quality and Guidelines)

The International Securities Identification Number (ISO 6166) is the recognized global standard for unique identification of financial instruments. Working Group 2 is formed by 13 NNAs namely, Argentina, China, Euroclear, Germany, India, Italy, Luxembourg, Spain, Switzerland, Jordan, Korea, UK and USA to give **solutions** and provide **assistance to NNAs** on practical application of the **ISO 6166**. WG2 is responsible for periodical review of **ISIN Uniform Guidelines** document and also responsible for development and maintenance of **CFI and FISN Guidelines**.

Working Group 2 (WG2) is committed to achieve the ANNA objectives to contribute to the ISO Standards development process, to work for the benefit of the financial industry and ensuring timeliness, accuracy and quality in the application of standards that can be used by all nations and their markets. The WG2 is focused on topics related to the guidance on ISIN allocation and also in charge of the periodical review of the ISIN allocation rules, which are compiled in a live document named ISIN Uniform Guidelines.

During the year there were various topics represented by the ANNA members wherein assistance was sought related to the guidance on ISIN allocation. There were numerous emails exchanged between WG2 members and conference calls were held to address the matters. Working group had taken into consideration various practicalities and implications related to these topics before formalizing the working group approach in handling and implementation of it.

Among the matters discussed were:

- Re-domiciliation of sub-fund in another country / jurisdiction
- ISIN allocation for entitlements in non-renounceable rights issues
- Allocation of two ISINs to subscription rights of listed companies in order to be compliant with the new rule CR515 of T2S framework
- FISN allocation for spots
- Use of Dummy ISIN and its linkage with traditional ISINs
- Amendment to Guidance relating to allocation rules – for European financial and referential instruments
- Amendment to Guidance relating to Financial Instruments other than Securities - Indices

The new version of the ISIN Uniform Guidelines is regularly presented to the ANNA membership at General Meetings for their approval.

WG2 is committed to providing assistance to all ANNA members to resolutely work on various concerning matters towards adopting uniform and clear rules for the ISIN allocation. Lastly, WG2 encourages all ANNA members to contact the WG2 if they have any queries or need any assistance with regards to ISIN allocation matters.

Samar Banwat
Convenor of WG2