

ASB RECORD FORMAT & FIELD DEFINITIONS

The table below indicates the size and format of the fields within an ISIN record in the ASB database.

1	ISIN	M	12 Alphanumeric	As per ISO 6166	The 12 character alpha numeric ISIN as per the ISO 6166 standard will be provided in this field.
2	Status	M	1 Alpha	“N”, “U”, “D”, “R”	<p>“N” - New (New ISIN added to the database)</p> <p>“U” - Updated (One/several of the fields in the ISIN record is/are updated)</p> <p>“D” - Deleted (The instrument associated with the ISIN is no longer active)</p> <p>“R” - Reused (ISIN that existed in ASB database and is reused and is now an Active ISIN record)</p>
3	Instrument Category	M	2 Alpha	“EQ”, “CV”, “DT”, “EN”, “OP”, “FT”, “SP”, “RI”, “OT” “SO”	<p>“EQ” = Equities</p> <p>“CV” = Collective Investment Vehicles</p> <p>“DT” = Debt</p> <p>“EN” = Entitlements/Rights</p> <p>“OP” = Options</p> <p>“FT” = Futures</p> <p>“SP” = Structured Products</p> <p>“RI” = Referential Instruments</p> <p>“OT” = Others</p> <p>“SO” = Spot</p>
4	Issue Description	M	Max. 120 Alphanumeric	Free text	Description of the Issue
5	CFI Code	O	6 Alpha	As per ISO 10962	The Classification of Financial Instruments code as per the ISO 10962 standard
6	FISN	O	Max. 35 Alphanumeric	As per ISO 18774	The Financial Instrument Short Name
7	Preliminary Terms/Prospectus	O	1 Alpha	“Y” or “N”	<p>The flag indicating whether the ISIN was assigned based on a preliminary prospectus or not, will be provided in this field.</p> <p>Acceptable alpha values are:</p> <p>“Y” = Yes (the information provided is preliminary)</p> <p>“N” = No (the information provided is not preliminary).</p>

8	Nominal Value	O	Max. 19 Alphanumeric	nnnnnnnnnnn.dddddd or "NPV"	<p>The nominal value of the security will be provided in this field.</p> <p>This field is optional for: Equities, Debt, Entitlements, Structured Products, and Others instrument categories.</p> <p>This field is not expected to be populated for: Collective Investment Vehicles, Options, Futures and the Referential Instruments instrument categories. NPV = No Par Value</p>
9	Issue Currency	M/O	3 Alpha	As per ISO 4217 and CNH,GBX,MCU,PRC, PTX, UYR, XXR, USX or ZAC	<p>The currency of the security, as per the ISO 4217 standard or the following: CNH – Chinese Offshore Renminbi GBX – Penny UK Sterling MCU – Multi-Currency PRC – Percent PTX – Points UYR – Index Adjusted Currency XXR – Reischsmark USX-US Dollar minor ZAC-South African Rand minor.</p> <p>This field is mandatory for all instrument categories except for Structured Products, Referential Instruments, and Others for which it is optional.</p>
10	Smallest Denomination	O	Max. 19 Numeric	nnnnnnnnnnn.dddddd	The smallest denomination of the security

11	Conversion Ratio/Contract Size	O	Max. 12 Numeric	nnnnn.dddddd	<p>For instruments that have a convertibility feature (for example convertible bonds, Preferred Shares, etc.), the conversion ratio will be provided in this field. For Options and Futures and where relevant for Structured Products this field should be used to contain the Contract size. This field is optional for all instrument categories except for Referential Instruments.</p>
12	Maturity/Expiration Date	M/O	Max. 8 Alphanumeric	YYYYMMDD or "P" or "Q" or "R"	<p>Maturity Date or Expiry Date will be provided in this field.</p> <p>When an instrument is perpetual, a value "P" or "Q" is expected in the Maturity/Expiry Date field. The definitions of these values are identical to the CFI Code ISO 10962 standard: P = Perpetual (The debt instrument has no fixed maturity date and is only due for redemption in the case of the issuer's liquidation.) Q = Perpetual with call feature (The issue may be called for redemption at some time in the future.) R = Perpetual with Put feature. (The issue may be puttable for redemption at some time in the future) This field is mandatory for Debt, Options, and Futures instrument categories and optional for all other instrument categories.</p>
13	Exercise Price	O	Max. 16 Numeric	nnnnnnnnn.dddddd	<p>Exercise price will be provided where relevant in this field. This price can be an amount or a percent. A maximum of 16 numeric characters will be accepted in nnnnnnnnnn.dddddd format.</p> <p>This field is Optional for</p>

					Entitlements, Options, Structured Products and Others instrument categories. It is not expected to be populated for any other instrument categories.
14	Exercise Price Currency	C	3 Alpha	As per ISO 4217 or "PRC"	This field will only be populated under the condition that the "Exercise Price" field value is provided, otherwise it should not have a value. PRC = Percentage
15	Underlying	O	Variable Alphanumeric	Multiple values as per ISO 6166, separated by the tilde character "~"	This is one of the fields that can accept multiple values per ISIN record. What is expected in this field is the ISIN of the underlying(s) instrument(s). This field will be able to accept up to 100 ISIN codes as underlying separated by the tilde character "~". This field is optional for all instrument categories except for Referential Instruments.
			Max. 100 ISINs		

16	Type of Interest	M/O	1 Alpha	"F", "Z", "V", "N", "C" or "K".	<p>F = Fixed rate (All interest payments are known at issuance and remain constant for the life of the issue.) Z = Zero rate / Discounted (No periodical interest payments are made; the interest charge (discount) is the difference between maturity value and proceeds at the time of acquisition.) V = Variable (The interest rate is subject to adjustment through the life of the issue includes graduated, i.e. step-up/step-down, floating and indexed interest rates) N= Non-interest bearing instruments. C = Cash payment K = Payment in kind</p>
17	Interest Rate	C	Max. 10 Numeric	nnn.dddddd	<p>This field is meant to have the interest rate for fixed interest bearing instruments. This field is conditional to the value of the "Type of Interest" field, i.e. it should only be populated if the "Type of Interest" field is set to "F" (for Fixed Rate Instruments) otherwise it can be left empty.</p> <p>This field is conditional for Debt, Structured Products and Others instrument categories</p>
18	Interest Payment Date	O	4 Numeric	MMDD	<p>The first interest payment date in a calendar year will be provided in this field.</p> <p>This field is optional for Debt, Structured Products and Others instrument categories. It is not required for any other instrument categories.</p>

19	Interest Frequency	O	1 Alpha	"B", "A", "S", "Q", "M", "W", "D", "X"	This field will contain the frequency of interest payments. B = Bi-annual A = Annual S = Semi-annual Q = Quarterly M = Monthly W = Weekly D = Daily X = Other
20	First Interest Payment Date	O	8 Numeric	YYYYMMDD	This field will contain the first interest payment date. This field is optional for Debt, Structured Products, and Others instrument categories. It is not required for any other instrument categories
21	Additional Information	O	Max. 255 Alphanumeric	Free text Also, the first 8 characters may contain CFI2015.	This field should contain any additional information (e.g. comments) relating to the respective ISIN record. This field is optional for all instrument categories. This field will also contain CFI2015. (Always at the beginning of the text string) which indicates the CFI code was allocated pursuant to the revised ISO 10962 standard.
22	Market Identifier Code (MIC)	O	Variable Alpha	Multiple values as per ISO 10383, separated by the tilde character "~"	This is one of the fields that similar to the "Underlying" field can accept multiple values per ISIN record. What is expected in this field is the list of all the Market Identifier Codes (MICs) of the markets that the respective ISIN record will be traded at the time of issuance.
			Max. 70 MICs per ISIN		
23	Lead Manager Name	O	Max. 255 Alphanumeric	Free text	The Lead Manager Name field should contain the full legal name of the Bank/Underwriting firm that took the lead role during the

					issuance/launch of the respective ISIN record. The field is optional for Equities, Debt, Entitlements, and Others instrument categories. It is not expected to be populated for any other instrument categories.
24	Lead Manager LEI	O	20 Alphanumeric	As per ISO 17442	The Lead Manager LEI should contain the Legal Entity Identifier (LEI) of the Lead Manager in line with the ISO 17442 standard. The field is optional for Equities, Debt, Entitlements, and Others instrument categories. It is not expected to be populated for any other instrument categories.
25	Fund Manager Name	O	Max. 255 Alphanumeric	Free text	The Fund Manager Name field will contain the full legal name of the Fund Manager of the respective ISIN record. The field is optional for Collective Investment Vehicles and Others instrument categories. It is not expected to be populated for any other instrument categories.
26	Fund Manager LEI	O	20 Alphanumeric	As per ISO 17442	The Fund Manager LEI will contain the Legal Entity Identifier (LEI) of the Fund Manager in line with the ISO 17442 standard. The field is optional for Collective Investment Vehicles and Others instrument categories. It is not expected to be populated for any other instrument categories.

27	Central Security Depository (CSD) Name	O	Max. 255 Alphanumeric per Single value	Multiple values of CSD Name (Free text) and CSD LEI (as per ISO 17442), separated by the tilde character “~”	The field will contain the full legal name of the Central Securities Depository or multiple ones which was/were used during the issuance of the respective ISIN record.
			Max. 10 Values		
	Central Security Depository (CSD) LEI	O	20 Alphanumeric per Single value	Name and corresponding LEI must be listed in pair. If only Name or LEI is available it will also be accepted.	This field will also contain the Legal Entity Identifier (LEI) of the CSD in line with the ISO 17442 standard.
			Max. 10 Values		
28	Issuer Name (Long)	M	Max. 400 Alphanumeric	Free text	This field will contain the official registered name of the issuer of the ISIN record.
29	Issuer LEI	O	20 Alphanumeric	As per ISO 17442	This field will contain the LEI of the issuer, in line with the ISO 17442 standard.
30	Issuer Name (Short)	O	Max. 30 Alphanumeric	Free text	This field will contain the Issuer’s abbreviated name.
31	Issuer Supranational	O	1 Alpha	“Y” or “N”	“This field will be used to denote if the Issuer of the instrument described in the ISIN record is a Supranational organization (i.e. a governmental or non-governmental entity that is established by international law or treaty). Y” = Yes (Issuer is a Supranational organization) “N” = No (Issuer is not a Supranational organization)
32	Issuer Headquarters Address 1	O	Max. 120 Alphanumeric	Free text	
33	Issuer Headquarters Address 2	O	Max. 120 Alphanumeric	Free text	
34	Issuer Headquarters State/Province	O	Max. 120 Alphanumeric	Free text	
35	Issuer Headquarters Post Code	O	Max. 20 Alphanumeric	Free text	

36	Issuer Headquarters City	O	Max. 60 Alpha	Free text	
37	Issuer Headquarters Country	O	2 Alpha	As per ISO 3166	
38	Issuer Legal Registration Address 1	O	Max. 120 Alphanumeric	Free text	
39	Issuer Legal Registration Address 2	O	Max. 120 Alphanumeric	Free text	
40	Issuer Legal Registration State/Province	O	Max. 120 Alphanumeric	Free text	
41	Issuer Legal Registration Post Code	O	Max. 20 Alphanumeric	Free text	
42	Issuer Legal Registration City	O	Max. 60 Alpha	Free text	
43	Issuer Legal Registration Country	M	2 Alpha	As per ISO 3166	The 2 character alpha country code as per the ISO 6166 standard will be provided in this field.

Constraints: M = Mandatory, O = Optional, and C = Conditional