

WG1 (Assisting Markets)

An important step for all Members and Partners was the development of the Membership Guidelines by the ANNA Board that became part of the legal documents through voting at the General Meeting in Jordan in December 2012. The document outlines several aspects of the members' and partners' rights and obligations in more detail. Being a living document it is constantly updated, presented to the membership and voted at General Meetings.

At the anniversary General Meeting in New York (June 2018) an application for **full membership** was received from the new NNA in **Bahrain** as well as a **partnership application** from **Angola** which was also a result of transfer from the previous NNA. All applications were approved unanimously. At this meeting the partnership by **Kenya** as well as the full membership by **Libya** both due to compliance issues were **terminated**. Membership currently stands at **91 full members**.

Currently ANNA has **24 ANNA Partners**:

- Algeria
- Angola
- Barbados
- Belarus
- Botswana
- Cape Verde
- DIFC
- Ecuador
- El Salvador
- Ghana
- Honduras
- Jamaica
- Maldives
- Mongolia
- Nicaragua
- Seychelles
- Swaziland
- Syria
- Tajikistan
- Tanzania
- Uganda
- Uzbekistan
- Zambia
- Zimbabwe

Organizations from these countries being appointed as National Numbering Agencies are mainly Central Securities Depositories (CSDs) or Stock Exchanges. ANNA Members and Partners allocate ISINs for 122 countries. The majority (12) of the above outlined Partners are Stock Exchanges.

Countries that have no appointed a National Numbering Agency yet are handled by the appointed SNAs (CUSIP Global Services, National Settlement Depository

Russia, WM Datenservice and SIX Financial Information Ltd) which extend ISIN coverage to all countries worldwide.

Further prospects for 2019 (or later) are **Curacao, Laos and Myanmar**.

A few other countries (e.g. Bahamas, Bermuda, Cayman Islands and New Zealand) have appointed NNAs but are currently neither ANNA Partner nor Member.

At each meeting the Board has put special focus on **compliance** matters. Compliance rules have to be followed by all Members and Partners, for example in respect of ISIN and CFI allocation according to the latest version of the standards and the accompanying Guidelines. In 2017 special focus was put on implementation of the **revised CFI** as well as on the **FISN** mainly due to launch of MiFID II regulation on January 2, 2018. While coverage close to 100 percent could be reached for the European NNAs, global coverage is much lower. Many Partners have not moved to revised CFI and FISN yet.

All fully compliant Partners and those who have been a **Partner for more than two years** will be asked to consider applying for full membership as the partnership status should only be on an interim basis to get used to all operational and organizational aspects of the NNA function and requirements resulting from the ANNA membership. As nearly all ANNA Partners have passed the 2-years-Partnership status deadline the Board will work closely with the Partners to **move shortly to full membership** as outlined in the Membership Guidelines.

At the General Meeting in November 2018 in Mumbai a **resolution** was approved to introduce a **service fee for partners** who have not transitioned to full membership after the maximum period of 2 years (according to terms on “Membership status” outlined in the Membership Guidelines). Partners applying for full membership status have to be fully compliant. This fee will apply as of 2020. Fee will be equivalent of the annual membership fees as decided by the General Meeting.

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