



## Company Profile

<b>Name of Stock Exchange</b>	Dutch Caribbean Securities Exchange N.V.
<b>Country/Region</b>	Curaçao, Dutch Caribbean
<b>Name of CEO</b>	Mr. Dirk-Jan de Graaff
<b>Capital (US\$)</b>	2,161,560USD as of December 31, 2020
<b>Number of Officers and Employees</b>	4
<b>Ownership and Governance (Main Shareholders)</b>	Class A (Dutch Caribbean Securities Exchange Foundation) and Class B Shareholders (consisting mostly from local institutions from the financial sector).
<b>Brief History</b>	<p>The Dutch Caribbean Securities Exchange (“DCSX”) forms part of this international financial services sector of Curaçao, established in 2009 in Curaçao, it’s the only authorized Securities Exchange in the Dutch Caribbean licensed by the Minister of Finance of the Government of Curaçao.</p> <p>The DCSX is a self-regulatory organization subject to direct supervision by the <a href="#">Central Bank of Curaçao and Sint Maarten</a> (“CBCS”), an associate member of the IOSCO.</p>
<b>Practices and Procedures</b>	
<b>Trading</b>	
Days	The Exchange is open for trading from Monday through Friday. The market is closed on public holidays and other dates as announced by the Exchange. The list of official holidays can be found on our website: <a href="https://www.dcsx.cw/market-hours">https://www.dcsx.cw/market-hours</a>
Hours	Trading hours will be from 9:00 – 16:00. The Exchange may adjust trading hours as it deems fit and upon prior notification to Brokers. The Market hours are published on our website: <a href="https://www.dcsx.cw/market-hours">https://www.dcsx.cw/market-hours</a>



Market segmentation	Primary Market (Tradeable listings with status Trading and Trading Approved) Secondary Market (Technical listings)
System	Electronic Trading Platform
Mechanisms	<p>Technical Listings: The purpose of technical listings is not to raise capital but to elevate the company's profile on the market and to elevate the compliance level of the legal entity, at the same time it is considered a preparation to become tradeable in the future on the DCSX trading platform.</p> <p>Tradeable Listing: Trade-able listings are what are known as "traditional" listings with the main purpose to raise capital or provide liquidity to the shareholders. When a listing is identified as "Trade Approved" it means that the process of Dematerialization of Shares and/or Deposit with Custodian are still pending to be completed in order to start trading on the main market.</p>
Market-Maker /Specialists	Not Available
Instruments	(1) stocks; (2) mutual funds, ETFs and other fund types; (3) bonds; (4) other instruments as approved by the DCSX.
Currency	USD, ANG, EUR, GBP and CHF
Real time information	Yes, through the electronic trading platform

## Clearing & Settlement

Central Depository	Yes
Period	T+2
Registered	Book Entry
Settlement	Book Entry
Clearing	Yes
DVP	Yes
Clearing Institution	Vidanova Global Custody Foundation



Risk Sharing	No
Margin/Lending	Not Applicable
Custodians	Vidanova Global Custody Foundation
<b>Taxes</b>	
Cash Dividends	No
Interest Income	No
Capital Gains	No
<b>Structure &amp; Regulations</b>	
Legal	Limited Liability Company
Regulation	The DCSX Foundation functions as the self-regulatory direct supervisory body that assures that the Management of the DCSX adheres to the processes as laid down in the Rules and Regulations. It is also the foundation that needs to approve new members to the DCSX. The Board of the Foundation is comprised of representatives of financial institutions and or other organizations whose interest it is that Curaçao has a well-functioning securities exchange.
Securities market regulations	National Ordinance on the Supervision of Securities Exchanges (NOSSE)  National Decree on the Supervisory Fees for Securities Exchanges  PB 2015 nr. 67 Landsverordening actualisering en harmonisatie toezichtlandsverordeningen Centrale Bank van Curaçao en Sint Maarten  PB 1998 nr. 252 Landsverordening toezicht effectenbeurzen
Trading rules	We refer to our Rules and Regulations Document: <a href="https://www.dcsx.cw/wp-content/uploads/2018/02/dcsx_rules_regulations.pdf">https://www.dcsx.cw/wp-content/uploads/2018/02/dcsx_rules_regulations.pdf</a>
Surveillance	CBCS Rules for Surveillance
Corporate actions	The issuer shall inform the DCSX for dissemination by the DCSX, through their LAD, as early as possible, however no later than 24 hours of any event, any changes, information that may in any way affect the operations of



	the issuer or the interest of any investor.
Trading halts regulations	We refer to our Rules and Regulations Document: <a href="https://www.dcsx.cw/wp-content/uploads/2018/02/dcsx_rules_regulations.pdf">https://www.dcsx.cw/wp-content/uploads/2018/02/dcsx_rules_regulations.pdf</a>
Investor protection	We refer to our Rules and Regulations Document: <a href="https://www.dcsx.cw/wp-content/uploads/2018/02/dcsx_rules_regulations.pdf">https://www.dcsx.cw/wp-content/uploads/2018/02/dcsx_rules_regulations.pdf</a>
<b>Foreign Participation</b>	
Foreign investors	No restrictions
Investment limitations	Not applicable
Repatriation	Not applicable
<b>Minimum Listing Requirements</b>	We refer to our requirements for <a href="#">Technical Listings and Tradeable Listings</a> .
<b>Contact Information</b>	Email address: <a href="mailto:info@dcsx.cw">info@dcsx.cw</a> . Website: <a href="http://www.dcsx.cw">www.dcsx.cw</a>
<b>Remarks</b>	