

## Company Profile

<b>Organization Name:</b>	State enterprise "Central Securities Depository"
<b>Country/ Region:</b>	Uzbekistan
<b>Name of CEO:</b>	(Mr.) Sheraly Abdujabbarov
<b>Capital (US\$):</b>	UZS 2 300 000 000 (as at 9 August 2019) = US\$ 264 219.9 (according to the exchange rate of Central Bank of Uzbekistan UZS 8704.87 as as at 9 August, 2019)
<b>Number of Officers and Employees:</b>	49 employees
<b>Ownership and Governance (Main Shareholders)</b>	100% owned by Agency for the management of state assets of the Republic of Uzbekistan
<b>Brief History</b>	Central Depository was established in May 21, 1999 by Cabinet of Ministers Decree № 263
<b>Types and number of Participants</b>	<p>Clients that opened:</p> <ul style="list-style-type: none"> <li>- 609 accounts of JSC</li> <li>- 39 brokers accounts</li> <li>- 9 investment fund accounts</li> </ul>
<b>Regulatory Environment</b>	<p>Central Depository is governed by the following laws and regulations of Uzbekistan:</p> <ul style="list-style-type: none"> <li>- The Civil Code of the Republic of Uzbekistan.</li> <li>- The Law of the Republic of Uzbekistan "On securities market", № 387 (New edition) of June 03, 2015.</li> <li>- The Law of the Republic of Uzbekistan "On Joint stock companies and protection of rights of shareholders", № 370 (New edition) of May 6, 2014.</li> <li>- The Decree of Cabinet of Ministers № 263 „On measures of creation and activities of the Central Securities Depository“ of May 21, 1999</li> </ul>
<b>Stock Exchanges</b>	JSC Republican Stock Exchange "Toshkent"
<b>Other CSDs or clearing organization in your country</b>	<p>Other CSDs: No</p> <p>Central Clearing: Republican Stock Exchange "Tashkent",</p> <p>LLC Clearing and Settlement house "Elsis-Savdo"</p>
<b>Services Provided</b>	<ul style="list-style-type: none"> <li>- securities registration;</li> <li>- certificated securities custody;</li> <li>- custody of documents confirming securities issuance;</li> </ul>

	<ul style="list-style-type: none"> <li>- conducting registers of security owners;</li> <li>- registration of government securities rights, state authorized officials rights on securities management;</li> <li>- deposit account maintenance of investment intermediaries;</li> <li>- securities settlement in accordance with the established procedure;</li> <li>- registration of securities issued by non-residents of the Republic of Uzbekistan and eligible to trade within the Republic of Uzbekistan;</li> <li>- registration of securities issued by residents of the Republic of Uzbekistan and eligible to trade outside the Republic of Uzbekistan;</li> <li>- registration of securities rights issued and owned by investment funds, unless otherwise specified in legislation;</li> <li>- Depositors Unified Database maintenance;</li> <li>- assignment of the international codes to securities issued by residents of the Republic of Uzbekistan;</li> <li>- Information collecting and organizing on movement of issued securities on deposit accounts.</li> </ul>
<b>Eligible Securities</b>	<ul style="list-style-type: none"> <li>- Shares</li> <li>- Bonds</li> </ul>
<b>Corporate Action services Provided?</b>	Yes
<b>Yes/No</b>	<ul style="list-style-type: none"> <li>- Split and consolidation</li> <li>- Additional issue of shares by own capital</li> <li>- Stocks conversion</li> </ul>
<b>(If yes, what kinds of services provided?)</b>	
<b>Value of Securities in Custody (US\$):</b>	71 226 billion (as at 2 August, 2019) = US\$ 8 182 427 767.4 (according to the exchange rate of Central Bank of Uzbekistan UZS 8704.87 as at 9 August, 2019)
<b>Amount of Cash Settled per annum (US\$):</b>	No
<b>Percentage of Securities Immobilized/ Dematerialized:</b>	100% All Uzbekistan equities are issued in dematerialized registered form and safekept in UzCSD.
<b>Market Capitalization/ Trading volume per annum (US\$):</b>	N/A
<b>Total Revenue/ Expense and Net income Per annum (US\$):</b>	N/A
<b>Memorandum of Understanding/ Business Agreement (Date)</b>	<p>Korea Securities Depository (KSD) -15 May 2002;</p> <p>Republican Unitary Enterprise "Republican Central Securities Depository" (Belarus) - 4 December 2003;</p> <p>National Settlement Depository (NSD) - 27 September 2018;</p> <p>Central Securities Depository JSC (Kazakhstan) - 27 September 2018;</p> <p>Merkezi Kayit Kurulusu A.S. (MKK) - 27 September 2018;</p>

Central Depository (Closed joint stock company)  
(Tajikistan) - 27 September 2018.

**Cross-Broader Transaction (Counterpart)**

No

**Withholding Tax Treatment of Cross-Border Trading Transactions (Details)**

No

**DVP Implementation (Yes/No)**

Yes

**Settlement Period**

- Stocks On-exchange: T+2

- OTC: T+2

- Bonds On-exchange: T+2

**Contact Information**

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**Remarks**