

Company Profile

Organization Name:	Nasdaq Dubai Limited
Country/ Region:	Dubai International Financial Centre, UAE
Name of CEO:	Hamed Ali
Capital (US\$):	N/A
Number of Officers and Employees:	N/A
Ownership and Governance (Main Shareholders)	The majority shareholder of Nasdaq Dubai is Dubai Financial Market with a two-thirds stake. Borse Dubai owns one third of the shares.
Brief History	<p>Nasdaq Dubai, formerly known as the Dubai International Financial Exchange (DIFX), commenced trading operations on 26 September 2005. It is a company limited by shares, as per Companies Law, DIFC Law No 2 of 2009 As Amended by DIFC Law Amendment Law DIFC Law No. 1 of 2017 and operates as an off-shore exchange, listing securities from issuers around the world. In May 2010 DFM acquired two thirds of Nasdaq Dubai and the remainder is held by Borse Dubai.</p> <p>Nasdaq Dubai holds listed securities in a 100% dematerialised electronic form on behalf of participants such as custodians, trading members, clearing members and investors. The Nasdaq Dubai Registry, a functional department of Nasdaq Dubai, holds and maintains the register of shareholder for an issuer. The Nasdaq Dubai Clearing acts as a central counterparty (CCP) and provides multilateral netting, central novation, and settlement assurance.</p>
Types and number of Participants :	35 Members, 8 custodians, 4 settlement banks
Regulatory Environment	The exchange is located in the Dubai International Financial Centre (DIFC), which has an independent commercial legal system based on English law. The exchange is regulated by the Dubai Financial Services Authority (DFSA), which operates to the highest international standards.
Stock Exchanges	
Other CSDs or clearing organization in your country	Dubai Financial Market & Abu Dhabi Securities Exchange
Services Provided	http://www.nasdaqdubai.com/
Eligible Securities	The Nasdaq Dubai CSD acts as and operates a system for the central handling of all securities eligible for trading which are admitted on the CSD.

	Such securities may include but not be limited to equities, depository shares, structured products/debt etc.
Corporate Action services Provided?	Yes, security and cash corporate action
(If yes, what kinds of services provided?)	
Value of Securities in Custody (US\$):	N/A
Amount of Cash Settled per annum (US\$):	N/A
Percentage of Securities Immobilized/ Dematerialized:	100 % dematerialized securities
Market Capitalization/ Trading volume per annum (US\$):	N/A
Total Revenue/ Expense and Net income Per annum (US\$):	N/A
Memorandum of Understanding/ Business Agreement (Date)	N/A
Cross-Broader Transaction (Counterpart)	Euroclear, Clearstream, MCDR (Egypt) & Bahrain Bourse
Withholding Tax Treatment of Cross-Border Trading Transactions (Details)	N/A
DVP Implementation (Yes/No)	Yes
Settlement Period	T+2
Contact Information	Shailesh Rahate Senior Manager - Clearing, CSD & Registry csdregistry@nasdaqdubai.com
Remarks	