

WG1 (Assisting Markets)

An important step for all Members and Partners was the development of the Membership Guidelines by the ANNA Board that became part of the legal documents through voting at the General Meeting in Jordan in December 2012. The document outlines several aspects of the members' and partners' rights and obligations in more detail. Being a living document it is constantly updated, presented to the membership and voted on at General Meetings as this will be the case at the upcoming General Meeting in May 2017.

At the General Meetings in Armenia (June 2016) we were pleased to receive an application for **full membership** from our ANNA Partner in **Nepal**. The application was approved unanimously bringing the membership to **92 full members**.

Currently ANNA has **26 ANNA Partners**:

- Algeria
- Angola
- Barbados
- Belarus
- Botswana
- Cape Verde
- DIFC – Dubai International Financial Centre
- Ecuador
- El Salvador
- Ghana
- Honduras
- Jamaica
- Kenya
- Maldives
- Mongolia
- Mozambique
- Nicaragua
- Paraguay
- Seychelles
- Swaziland
- Syria
- Tanzania
- Uganda
- Uzbekistan
- Zambia
- Zimbabwe

Organizations from these countries being appointed as National Numbering Agencies are mainly Central Securities Depositories (CSDs) or Stock Exchanges. ANNA Members and Partners allocate ISINs for 123 countries. The majority (15) of the above outlined Partners are Stock Exchanges.

Countries that have no appointed a National Numbering Agency yet are handled by the appointed SNAs (CUSIP Global Services, National Settlement Depository Russia, WM Datenservice and SIX Financial Information Ltd) which extend ISIN

coverage to all countries worldwide.

Further prospects for 2017 are **Laos, Myanmar, Tajikistan** (application for Partnership has been received) and **Curacao**.

A few other countries (e.g. Bahamas, Bermuda, Cayman Islands and New Zealand) have appointed NNAs but are currently neither ANNA Partner nor Member.

At each meeting the Board has put special focus on **compliance** matters. Compliance rules have to be followed by all Members and Partners, for example in respect of ISIN and CFI allocation according to the latest version of the standards and the accompanying Guidelines. Furthermore, compliance is very important concerning the recently agreed deadline for CFI and FISN implementation, July 1, 2017. Other aspects included the upload of daily files as well as forwarding annual refresh master files to the ASB and the adherence to the terms outlined in Membership Guidelines.

The **new version of the ASB record** was established in November 2014 with a much broader coverage of detailed information (e.g. FISN, LEI, MIC etc). All Partners and Members have migrated to this new version. Main focus is now for the members and partners to generate content especially for the standards related fields.

All fully compliant Partners and those who have been a Partner for more than two years will be asked to consider applying for full membership as the partnership status should only be on an interim basis to get used to all operational and organizational aspects of the NNA function and requirements resulting from the ANNA membership.

Finally, another **Workshop** focusing mainly on practical matters related to NNA functions was held on **November 30** in conjunction with the Extraordinary General Meeting in Bangkok. We were pleased to see that many Members and Partners took this opportunity to discuss practical matters that usually could not be discussed during a General Meeting. ANNA will keep on offering such workshops.

Uwe Meyer
Convenor of WG1