

REGIONAL GROUP REPORTS

ANNA LATIN AMERICAN REGIONAL GROUP (ALARG)

SD Indeval as ALARG's member is delighted to share with the ANNA Membership a summary of the ANNA related matters occurred during 2016 in the Latin American region.

Communication between SD Indeval, S.A., Caja de Valores S.A. and the Regional NNAs have been continuous, mostly focused on the implementation of FISN and the update of the standard 10962, the use of the file of the impact analysis and the guidelines to do it properly.

In order to accomplish and reinforce membership compliance topics and to update the members of the region with important topics on September 2016, we had a conference call where 11 of the 15 NNAs participated. The main topics were:

- New version of CFI ISO 10962
- Objective of FISN ISO 18774
- Update of DSB (Derivatives Service Bureau)
- The importance of promoting ISIN, CFI and FISC in our markets.

During the year the NNA of Paraguay announced that the following year (2017) they would become a full Member.

ANNA's next Extraordinary General Meeting and workshop will be organized in Latin America in Santiago, Chile hosted by DCV, S.A. de C.V. (Central Securities Depository of Chile).

Zazil Miranda Gonzalez
ALARG Convenor