

## Company Profile

<b>Organization Name:</b>	Thailand Securities Depository Company Limited (TSD)
<b>Country/ Region:</b>	Thailand
<b>Name of CEO:</b>	Ms. Yupawan Sirichainarumitr
<b>Capital (US\$):</b>	As of January 2016, USD 5,656,108.60 (THB35.36/USD)
<b>Number of Officers and Employees:</b>	TSD is operating under the Stock Exchange of Thailand's Group with approximately 100 employees.
<b>Ownership and Governance (Main Shareholders)</b>	TSD is 99.99% owned by The Stock Exchange of Thailand and was established under the Securities and Exchange Act BE 2535 (1992) on November 16, 1994 as a private organization.
<b>Brief History</b>	
<b>Types and number of Participants</b>	Brokers: 38 / Banks: 32 (As of December 2015)
<b>Regulatory Environment</b>	TSD is regulated by the Securities and Exchange Commission (SEC) since it is a subsidiary of the Stock Exchange of Thailand which is directly regulated by SEC.
<b>Stock Exchanges</b>	The Stock Exchange of Thailand
<b>Other CSDs or clearing organization in your country</b>	Clearing organization: Thailand Clearing House Co., Ltd. (TCH)
<b>Services Provided</b>	TSD provide 2 main types of securities post trade services <b>1. Securities depository services:</b> acts as a central securities depository for securities in scripless form using a secure and efficient scripless system, provides services for securities deposit, withdrawal, transfer, pledging, and revocation. Investors can conduct the transactions through depository participants. TSD is responsible for managing the foreign holding proportion of the securities traded on the main and foreign boards of the Stock Exchange of Thailand to comply with related rules and regulations.

**2. Securities registration services:** TSD acts as a registrar for common and preferred stocks, and offers service which mainly related to preparing and maintaining the register book of its clients ,ensuring that they contains correct and complete information. Our services are executed through a cutting edge and efficient computer system that is directly link to that of the TSD's Securities Depository Center. On the system-wise, TSD also has a secure data storage and processing system with back-ups ensuring the continuity of our service. Also, our vault and data management systems have been in place to secure the rights of securities holders and for verification and risk-minimizing purposes.

<b>Eligible Securities</b>	<ul style="list-style-type: none"> <li>- Equities</li> <li>- Corporate Debt</li> <li>- Money Markets</li> <li>- Government Debt</li> <li>- Foreign Securities which have registrar in Thailand</li> </ul>
<b>Corporate Action services Provided? Yes/No (If yes, what kinds of services provided?)</b>	Yes, TSD provide additional Corporate Actions services called CA SWIFT and CA non-SWIFT for Corporate Actions announcement sending to the subscribers who are TSD participants.
<b>Value of Securities in Custody (US\$):</b>	Approximately USD 544,600 million (THB35.36/USD) as of December 2015
<b>Amount of Cash Settled per annum (US\$):</b>	N/A
<b>Percentage of Securities Immobilized/ Dematerialized:</b>	Approximately 80%
<b>Market Capitalization/ Trading volume per annum (US\$):</b>	USD 347,362.97
<b>Total Revenue/ Expense and Net income Per annum (US\$):</b>	N/A
<b>Memorandum of Understanding/ Business Agreement (Date)</b>	
<b>Cross-Broader Transaction (Counterpart)</b>	N/A
<b>Withholding Tax Treatment of Cross- Border Trading Transactions (Details)</b>	N/A
<b>DVP Implementation (Yes/No)</b>	Yes
<b>Settlement Period</b>	Securities: T+3 Fixed Income and Money Market: T+2

**Contact Information**

The Stock Exchange of Thailand  
93 Ratchadaphisek Rd., Dindaeng, Bangkok 10400  
Thailand

**Remarks**