



Experience. Process. Technology. Innovation.

CUSIP Global Services (CGS) is dedicated to driving efficient trading, clearing and settlement in capital markets throughout the world by providing a unique common language for identifying financial instruments across institutions and exchanges.

CGS continues to expand coverage to include complex and broadly-traded instruments; move into new geographic regions; and adopt state-of-the-art data management and delivery capabilities to meet the changing needs of the marketplace. From the front-office to the back-office, users have come to rely on the origin and accuracy of CGS information to run their businesses efficiently and cost-effectively.

CGS is managed on behalf of the American Bankers Association by S&P Capital IQ.

CGS Today:

- Implements a rigorous process with experienced analysts to provide universally recognized identifiers for financial instruments issued by corporations, municipalities and government agencies around the world
- Covers more than 14 million unique financial instruments and over 70% of all international identifiers used in global securities transactions
- Includes a wide range of instrument types, including derivatives, hybrids, syndicated loans and U.S. and Canadian listed equity options
- Adds 1,000-2,000 new identifiers to the offering each day
- Enables ready access to time-sensitive information with online capabilities that include powerful tools for searches and alerts
- Ensures the smooth execution of critical functions from compliant new issues to Securities Master File maintenance, income processing and more
- Works closely with clients and business partners to develop new solutions to global data challenges



THE EVOLUTION OF CUSIP GLOBAL SERVICES

40+ years of growth and innovation.

1960s

- 1968** CGS was formed. ABA selects S&P Capital IQ to manage CGS.
- 1964** CUSIP system is established. The New York Clearing House Association approaches the ABA to develop the Committee on Uniform Security Identification Procedures (CUSIP).

1970s

- 1977** The SEC establishes a lost and stolen securities recovery program using CUSIPs.
- 1975** The Federal Reserve Bank's automated book entry system uses CUSIPs to speed the transfer of United States Treasury Securities.
- 1972** All Clearing Corporations begin using CUSIPs, making them the mandatory identifier for all brokerage firms.

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1980s

- 1989** Private Placement Numbers (PPNs) for the National Association of Insurance Commissioners are assigned.
- 1989** CUSIP International Numbering System (CINS) is introduced for non-North American securities actively traded internationally.
- 1984** The National Securities Clearing Corporation establishes an electronic transfer program based on CUSIPs for municipal bonds, replacing paper certificates.
- 1983** CUSIPs are assigned to Certificates of Deposit (CDs).
- 1983** The MSRB rules that all trade confirmations of municipal bonds must have a CUSIP identifier.

1990s

- 1998** CUSIPs are assigned to TBAs (To Be Announced) for Federal Agency Mortgage Pools.
- 1998** CUSIPs are assigned to Bankers Acceptances.
- 1994** CUSIPs are assigned to corporate transferable and non-transferable securities for the Midwest Securities Clearing Corporation.
- 1994** CUSIPs are assigned to private limited partnerships for the Securities Pricing and Reporting Service (SPAR).
- 1993** CUSIP Xpress enables one-hour requests for straight-through processing.
- 1990** CUSIPs are assigned to Commercial Paper issues.

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2000 +

- 2013** CGS takes prominent standards role in development of global Legal Entity Identifiers.
- 2012** CGS launches Hedge Fund service to uniquely identify over 11,000 hedge fund products.
- 2011** CGS expands TBA algorithm to cover new types of Federal Agency pools.
- 2009** CGS Business Entity Identifiers are launched.
- 2007** CGS CUSIP New Issue Alert is launched.
- 2006** CGS Municipal Issuer Access is launched.
- 2006** 144A Service is launched providing CUSIPs for 144A, Accredited Investor and Registered issues, and CINS for Regulation S issues.
- 2004** CUSIPs are assigned to Syndicated Loans.
- 2003** CUSIPs are assigned to Indices.
- 2003** CLIPS/RED CUSIP-like identifiers are assigned to credit default swap entities in joint effort with Markit Partners.



DATA SERVICES

The CGS database contains issuer/issue identifiers, standardized descriptions and related data for more than 14 million financial instruments and entities. Coverage includes: corporate, government, municipal and international securities; IPO's, preferred stock, funds, CDs and competitive/negotiated deals, derivatives, U.S. and Canadian listed equity options and syndicated loans.

From data feeds to web services, CGS data is made available in a variety of off the shelf or custom packages for your convenience. This includes direct access via the Web and FTP links with pipe-delimited or XML data feeds and APIs with intraday, daily and weekly updates.

Data is also available through authorized redistributors and may be subject to a separate CGS license.

CGS Database Services

CGS CUSIP Master File

Identifiers for millions of issues and their issuers with standardized descriptions for U.S. and Canadian corporate, municipal, sovereign and government debt and equity instruments.

CGS ISIN Master File

Database of all available ISINs for CGS jurisdictions as primary or substitute National Numbering Agency.

CGS CINS Master File

CUSIP International Numbers for over 3 million securities primarily traded or settled outside of the U.S. and Canada.

CGS LEI Plus

CGS's robust directory of legal entity data for issuers, obligors and counterparties on a global basis identified by the CABRE, mapped to the standardized Legal Entity Identifier (LEI).

CGS CUSIP or ISIN New Issue Alert

New issue alert service for newly assigned CUSIPs or CGS ISINs distributed every 15 minutes.

CGS Mortgage-Backed Securities

Database of millions of mortgage-backed pools issued by U.S. Federal Agencies such as Fannie Mae and Freddie Mac.

CGS Private Placement Numbers

Database of private placement numbers (PPNs) for Insurance Company Schedule D Annual Filings.

CGS TBAs

Temporary CUSIPs for federal agency mortgage pools.

CGS Identifier Solution Services

CGS 144A Linkage Plus

Provides all 144a private offering CUSIP numbers either on a standalone basis or with linkages to its Reg S and Accredited Investor counterparts.

CGS Associated Issuers

Mapping file linking issuers throughout history based on corporate actions and numbering overflow.

CABRE-Related Instrument Bundle (CRIB)

Maps legal entity identifiers including the standard LEI to all underlying securities (ISIN, CINS, CUSIP) issued by an entity over time and across corporate actions.

CUSIP Master File with ISIN

Provides CUSIP identifiers mapped to their corresponding ISIN.

CINS Master File with ISIN

Provides CINS identifiers mapped to their corresponding ISIN.

Global ISIN Data File

Provides ISINs linked to a CUSIP identifier or CINS with source NNA data.

CGS Portfolio Services

CGS Validation Service

Validates CUSIPs, CINS and CGS ISINs in a client's Securities Master File or portfolio.

CGS Portfolio Service

Validates and provides up to 50 data elements for client submitted portfolios of CUSIPs, CINS, and all ISINs globally across virtually all asset classes, including corporate bonds, municipal bonds, syndicated bank loans, TBAs and equities.

CGS Segment Services

CGS Options

Database of over 500,000 U.S. and Canadian listed equity, ETF and Index options assigned with CUSIPs and ISINs. Option CUSIPs are mapped to the OCC and OPRA option industry codes.

CGS Syndicated Loans

Provides CUSIPs and ISINs of U.S. private corporate bank loans for thousands of Deals and Facilities.

CGS Hedge Fund Service

Provides CUSIPs for more than 11,000 domestic and global Hedge Fund and Fund of Fund products.

CGS Discount Commercial Paper

Provides CUSIP numbers and descriptive information for Corporate and Municipal Discount Commercial Paper programs.

CGS Web Services

CGS CUSIP Access

Near real time web look-up service for the entire CUSIP Global Services database. Service also includes corporate actions email alerts, new issuance alerts and Excel download capability.

CGS Municipal Issuer Access

Web service providing CUSIP information for disclosure reporting under SEC rule 15c2-12 for the exclusive use of tax-exempt issuers.

*Most feeds available weekly, daily or intra-day, through standard FTP delivery or API web services real-time delivery.

For more information, visit us on the web at www.cusip.com

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